



R 1995

Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL

For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known

Application Number 09/627,018
Filing Date July 27, 2000
First Named Inventor Weber et al.
Examiner Name M. Thaler
Art Unit 3731
Attorney Docket No. 70442.1201

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):

☒ Deposit Account Deposit Account Number: 08-3264 Deposit Account Name: Hughes Hubbard & Reed

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

| Application Type | FILING FEES | | SEARCH FEES | | EXAMINATION FEES | | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
| | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | |
| Utility | 300 | 150 | 500 | 250 | 200 | 100 | |
| Design | 200 | 100 | 100 | 50 | 130 | 65 | |
| Plant | 200 | 100 | 300 | 150 | 160 | 80 | |
| Reissue | 300 | 150 | 500 | 250 | 600 | 300 | |
| Provisional | 200 | 100 | 0 | 0 | 0 | 0 | |

2. EXCESS CLAIM FEES

| Fee Description | Fee (\$) | Small Entity Fee (\$) |
|--|----------|-----------------------|
| Each claim over 20 (including Reissues) | 50 | 25 |
| Each independent claim over 3 (including Reissues) | 200 | 100 |
| Multiple dependent claims | 360 | 180 |
| Total Claims | | |
| Extra Claims | Fee (\$) | Fee Paid (\$) |
| - 20 or HP = | x | = |
| HP = highest number of total claims paid for, if greater than 20. | | |
| Indep. Claims | Fee (\$) | Fee Paid (\$) |
| - 3 or HP = | x | = |
| HP = highest number of independent claims paid for, if greater than 3. | | |

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$)

- 100 = / 50 = (round up to a whole number) x Fee Paid (\$)

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Fee under 37 C.F.R. 1.97(c)

180.00

SUBMITTED BY

Signature Douglas D. Zhang Registration No. (Attorney/Agent) 37,985 Telephone 212-837-6000
Name (Print/Type) Douglas D. Zhang Date 3-28-05

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



3-29-05 AF

IFW 3731
\$

CERTIFICATE OF MAILING

Express Mail No.: ET963575461US

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as express mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this date, March 28, 2005.

Jason Liu

Name

Signature

Date

5/28/05

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Weber et al.

Examiner: M. Thaler

Serial No: 09/627,018

Group Art Unit: 3731

Filed: July 27, 2000

File Ref. No.: 70442.1201

For: Convertible Rongeur

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

To: Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants submit in connection with the above-referenced application a second supplemental Information Disclosure Statement (IDS) along with copies of the non-U.S. patent references cited therein. In accordance with 37 C.F.R. § 1.98, Applicants submit an English translation of DE-94 21 125.6 and point to Fig. 1 of DE-356185 as explanations of the relevance.

DE-94 21 125.6 as well as an English translation thereof was disclosed in a first supplemental IDS submitted on March 17, 2005, but the accompanying statement that it was first cited in a communication from a foreign patent office not more than three months prior to the filing of the first supplemental IDS was incorrect. DE-94 21 125.6 was first cited in a communication from a foreign patent office more than three months prior to the filing of the first supplemental IDS.

Applicant submit a fee as required under 37 C.F.R. § 1.97(c). Applicants believe the submitted fee is sufficient, but authorize any additional fees to be charged to Hughes Hubbard &



Registered Deposit Account No. 08-3264. Applicants respectfully request that the references listed in the second supplemental IDS be made of record in the application.

Dated: March 28, 2005

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Douglas D. Zhang".

Douglas D. Zhang (Reg. No. 37,985)

HUGHES HUBBARD & REED LLP
One Battery Park Plaza
New York, NY 10004-1482
(212) 837-6000



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

| | |
|--------------------|------------|
| Application Number | 09/627,018 |
|--------------------|------------|

| | |
|-------------|---------------|
| Filing Date | July 27, 2000 |
|-------------|---------------|

| | |
|----------------------|--------------|
| First Named Inventor | Weber et al. |
|----------------------|--------------|

| | |
|----------|------|
| Art Unit | 3731 |
|----------|------|

| | |
|---------------|-----------|
| Examiner Name | M. Thaler |
|---------------|-----------|

| | |
|------------------------|------------|
| Attorney Docket Number | 70442.1201 |
|------------------------|------------|

| | | | |
|-------|---|----|---|
| Sheet | 1 | of | 1 |
|-------|---|----|---|

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T ⁶ |
|--------------------|-----------------------|---|------------------|---|---|----------------|
| | | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | MM-DD-YYYY | | | |
| | 8 | DE-94 21 125.6 | 06-08-1995 | Wolf | | ✓ |
| | 9 | DE-356185 | 07-18-1922 | Bacher | Fig. 1 | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

| | | | |
|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.